Genetic Transformation of *Neisseria catarrhalis* by Deoxyribonucleate Preparations having Different Average Base Compositions

**BY B. W. CATLIN AND L. S. CUNNINGHAM**

*Marquette University School of Medicine, Milwaukee, Wisconsin, U.S.A.*

*(Received 19 May 1964)*

**SUMMARY**

The base contents of deoxyribonucleate (DNA) preparations from 7 strains of *Neisseria catarrhalis* were determined chromatographically. Three non-overlapping classes were distinguished by mole % guanine + cytosine. These centred about the values 41 % (2 strains), 42.3 % (4 strains, including ATCC 8193), and 44.5 % (strain NCTC 4103). Each of the 7 strains was capable of undergoing genetic transformation. DNA preparations from spontaneous streptomycin-resistant mutants of all 7 strains elicited transformation of recipient strains in all 49 possible combinations. Results with this group, therefore, do not support the hypothesis that success in transferring genetic information between 2 strains requires identity of average DNA base contents.

Differences of reciprocal transformation frequencies and of 4 physiological characteristics (nitrate reduction, pigment production on vancomycin-containing agar, hydrolysis of gelatin, and growth at 28°C) appeared to separate 6 of the strains of *Neisseria catarrhalis* from the seventh (NCTC 4103), which may properly be named *N. cinerea*.

**INTRODUCTION**

The mucosa of the upper respiratory tract of humans provides microhabitats for a variety of bacteria including several potentially pathogenic species which are known to undergo genetic transformation. Dense populations are observed in nasopharyngeal or other secretions. The idea that genetic information may be transferred between such bacteria in their natural habitat has gained some support from in vitro demonstrations of transforming activity of extracellular deoxyribonucleate (DNA). DNA-containing cellular materials spontaneously liberated by cultures of *Neisseria meningitidis*, *Streptococcus (Diplococcus) pneumoniae*, as well as of the non-parasitic *Bacillus subtilis*, elicited transformation of recipients of the corresponding species (Catlin, 1960a; Ottolenghi & Hotchkiss, 1960, 1962; Takahashi, 1962), or of related species (Catlin, 1960b).

If unlimited transfer of genetic information were to occur between bacteria, one would expect the development ultimately of a continuous spectrum of differences. That there are relatively stable distinguishable bacterial taxa implies that barriers to gene flow exist, as Ravin (1961) has pointed out. One barrier recently recognized, which has been thought to be insurmountable, involves differences of DNA base composition. Results of an investigation of interspecific transformation of *Neisseria*...
provide one example (Catlin & Cunningham, 1961). DNA preparations from representatives of six different species had transforming activity for recipient cells of all six; analyses of their base compositions showed that the content of guanine plus cytosine (G+C) was close to 50% for all. On the other hand, two transformable strains of N. catarrhalis did not undergo detectable transformation when exposed to transforming preparations from the other six neisserias, and their G+C content was 41%. This difference of base composition was thought to provide a possible physical basis for the genetic barrier.

Studies by Marmur and his associates of base composition, molecular hybrid formation, and transforming activities of DNA preparations from various species of Bacillus led them to propose that similarity of overall DNA base composition is a minimum requirement for DNA interaction and for genetic compatibility between different species (Marmur, Schildkraut & Doty, 1961; Marmur, Seaman & Levine, 1968). They noted in Haemophilus, as well as in Bacillus, that species which were genetically related had the same base composition, although they might show very different efficiencies of interspecific transformation.

Additional genetic material suitable for testing this hypothesis has been found in Neisseria catarrhalis. The investigation to be described here has revealed significant differences of average base composition among DNA preparations from 7 strains, 5 additional strains having been acquired since the previous study (Catlin & Cunningham, 1961). Their G+C contents ranged from 41 to 44.5%. Contrary to expectation, each of the seven N. catarrhalis recipients was transformed by all of these DNA preparations.

Further studies of the range of transfer of genetic information were made with selected bacteria indigenous to the mucosa which bear some resemblance to Neisseria catarrhalis. The following communication presents results of transformation tests of moraxellas and allied organisms, and shows the application of transformation techniques to a particular problem of classification. A third communication will describe reciprocal transformations of N. catarrhalis and Moraxella nonliquefaciens.

**METHODS**

**Strains**

Seven strains of Neisseria catarrhalis were investigated: Ne 11, University of Rochester collection; Ne 13, New York State Department of Health; ATCC 8193, American Type Culture Collection; Ne 28, throat culture from a healthy student; Ne 9, University of Maryland collection; 8818, University of Maryland collection; NCTC 4103, National Collection of Type Cultures, London. Strains Ne 11 and Ne 13 have been described previously (Catlin & Cunningham, 1961). Strains Ne 9 and 8813 were kindly provided by Sylvia G. Cary, Walter Reed Army Institute of Research, Washington.

When received each strain was streaked on an agar medium containing heart infusion and yeast extract (Difco) supplemented with ribonucleic acid, sodium glutamate, and calcium chloride (HIY-1 agar; Catlin & Cunningham, 1961). One or more typical colonies were isolated and separately subcultured. Thereafter, to minimize population changes, all subcultures were made by streaking out organisms selected from 10 to 20 typical colonies.
Transformation of Neisseria catarrhalis

Strains were preserved at \(-60^\circ\) in the following way. Masses of 18-hr growth were transferred with an inoculation loop from HIY-1 agar to a number of small screw-cap vials which contained HIY broth + 0.1% (w/v) agar, and were immediately frozen. Organisms from a thawed suspension were subcultured daily on fresh HIY-1 agar over a period not exceeding 1 week. Cultures were grown, usually at 35°, in a humidified water-jacketed incubator or an incubator-shaker (Model G27, New Brunswick Scientific Co. Inc., New Jersey).

Dihydrostreptomycin sulphate (Squibb; DHS) was used for all studies involving streptomycin-resistant (str-r) strains. Spontaneous str-r mutants were obtained from each Neisseria catarrhalis strain by the following procedure. The parent strain was cultivated at 35° in 500 ml. flasks containing 50 ml. of heart infusion broth + 0.5% (w/v) yeast extract (Difco). After 8-10 hr incubation with shaking, 100 ml. of additional broth was added together with DHS sufficient to give 500 µg/ml. The culture was re-incubated for 16 hr with shaking, and samples were plated in HIY-1 agar containing 500 µg. DHS/ml. A single isolated colony was picked, subcultured, and its identity established by morphological and cultural examination.

The strain designated NCTC 4103 str-r 11 is a transformant derived by exposing strain 4103 to DNA from Ne 11 str-r; a single isolated colony was picked from agar containing 500 µg. DHS/ml.

**Bacteriological characteristics**

*Oxidase reaction.* The method of Kovacs (1956) was used with 20-hr cultures. The tetramethyl-p-phenylenediamine dihydrochloride (Eastman) solution was prepared each day. This reagent was used, also, as a routine check of transformation test cultures, being poured sparingly on surface growth or introduced to subsurface colonies by stabbing through the semi-solid agar.

*Catalase production.* Vigorous evolution of gas was noted immediately after adding a drop of 8% (v/v) solution of hydrogen peroxide to 20-hr cultures on HIY-1 agar.

*Gelatin hydrolysis.* The method of Frazier given in the *Manual of Microbiological Methods* (1957, p. 158) was slightly modified to increase its sensitivity. The medium was heart infusion broth (Difco) with additions of 1% (w/v) agar (Purified, Difco) and 0.4% (w/v) gelatin (Fisher Scientific Co.). This was dispensed in 15 ml. amounts in flat-bottom Petri dishes (molded Pyrex, 100 x 22 mm.), and cultures were spotted on the surface over an area about 1 cm. in diameter. Plates were incubated at 35° for 7 days in a covered container with moist bibulous paper to minimize drying. The reagent (15 g. HgCl₂ dissolved in 100 ml. distilled water + 20 ml. concentrated HCl) poured in a 10 ml. quantity on the medium precipitates unhydrolysed gelatin, resulting in a white opacity. Strong gelatinase producers were surrounded by wide clear zones (*Staphylococcus aureus* used as positive control). A test was considered to be positive, however, when the medium underlying the bacterial growth (swept off to allow the reagent to act) remained completely transparent.

*Deoxyribonuclease production.* This was detected by a plate method (Kay, 1954) similar to that described above for gelatin hydrolysis except that 0.2% (w/v) sodium deoxyribonucleate (Nutritional Biochemicals Corp.) was substituted for gelatin. The reagent, which precipitates unhydrolysed DNA, was added to plate cultures
after incubation for 2–3 days. A transparent zone peripheral to bacterial growth indicated enzymic depolymerization of the DNA.

*Tests of capacity to produce acid from carbohydrates.* The following compounds were investigated: glucose, galactose, rhamnose, fructose, arabinose, xylose, maltose, sucrose, lactose. Sterile stock solutions (filtered through Millipore 0.45 μ membranes) were added to the rich proteinaceous agar medium previously described (Catlin & Cunningham, 1961) to give concentrations of 0.5% (w/v). The possibility that slight acid production resulting from oxidative attack might be neutralized by alkali production, prompted the use of two additional media: (i) the low-peptone base of Hugh & Leifson (1958) with the concentration of agar (Purified, Difco) increased to 0.7% (w/v) to permit surface growth on agar slopes, and with aseptic addition of 1% (w/v) carbohydrate; (ii) the ammonium-free sustaining medium of Elrod & Braun (1942) with bromthymol blue indicator added, and aseptic addition of 1% (w/v) carbohydrate; this was tubed in 1 ml. volumes and inoculated with 10^10–10^11 cells removed from 20 hr HIY-1 agar cultures. In addition, heart infusion agar slopes with bromthymol blue and 10% (w/v) glucose or 10% (w/v) lactose were used, as recommended for certain Gram-negative rods (Schaub & Hauber, 1948). A carbohydrate-free control tube of each basal medium was inoculated with each strain. All media were incubated at 35° for at least a week and inspected periodically for acid production.

*Indole production.* Heart infusion broth (Difco) was supplemented aseptically with filter-sterilized L-tryptophan to give 0.1 mM. Cultures, sampled after incubation for 2 and 7 days, were tested for indole using Kovács reagent (*Manual of Microbiological Methods*, 1957, p. 156). *Escherichia coli* was used as positive control.

*Urea hydrolysis.* Urea agar base concentrate (Difco) was prepared according to the manufacturer’s directions. The test and control agar slopes were streaked, incubated, and examined as described below for citrate agar.

*Growth on citrate agar.* Simmons citrate agar (Difco) slopes, streaked with a small inoculum from a 20-hr HIY-1 agar culture, were incubated at 35° for 1 week, and examined periodically for evidence of growth and alkali production. *Klebsiella pneumoniae* was used as positive control.

*Response to antibiotics.* Cultures diluted to contain about 10^7 bacteria/ml. were spread on the surfaces of HIY-1 agar plates by using sterile cotton swabs. ‘Sensi-discs’ (Baltimore Biological Lab.) or ‘Multidisks’ (Consolidated Lab. Inc., Chicago Heights) containing the concentrations of antibiotics listed in Table 1 were placed on the agar, and the plates were incubated at 35° for 20 hr. A strain was regarded as susceptible to the antibacterial action of the agent when the disc was surrounded by a clear zone of any radius exceeding 1 mm., indicating inhibition of growth. Resistance was indicated by growth up to the margin of the disc.

*Nitrate reduction.* Nitrate broth was prepared by addition of 0.1% (w/v) KNO₃ to heart infusion broth (Difco). Periodically during incubation at 35° for 7 days, samples of the cultures were tested for nitrite with sulphanilic acid and dimethyl-alpha-naphthylamine reagents (*Manual of Microbiological Methods*, 1957, p. 153). Negative nitrite tests were examined for residual nitrate by adding zinc granules.

*Nitrite disappearance.* Required volumes of a 2% (w/v) KNO₃ solution were added to heart infusion broth (Difco) to give concentrations of 0.1%, 0.03, 0.01 and 0.005%. An inverted insert vial was placed in each tube of nitrite broth before
Transformation of Neisseria catarrhalis

Cultures were inspected periodically for evidence of growth and accumulation of gas, and samples were tested for nitrite (reagents above).

Deoxyribonucleate preparations

A given str-r strain, subcultured on HIY-1 agar containing 500 μg. DHS/ml., was inoculated into litre quantities of HIY-1 broth +200 μg. DHS/ml. contained in Fernbach flasks. After 16–20 hr incubation with shaking, the cultures (usually 2) were harvested in 500 ml. cups in a refrigerated centrifuge, washed once and re-suspended in EDTA + NaCl solution (0.1M ethylenediaminetetra-acetate in a solution of 0.15M-NaCl, pH adjusted to 7.3–7.4), and frozen.

The bacterial suspension was thawed at 50°, transferred to room temperature, and stirred mechanically during lysis by 1–3% dodecyl sodium sulphate (Matheson, Coleman & Bell; twice recrystallized from hot ethanol) which was added as a 30% (w/v) aqueous solution. Lysis, essentially complete in 0.5–3 hr, resulted in a partially transparent and viscous solution. (It may be noted that N9 and some other strains of Neisseria catarrhalis, in contrast to N. meningitidis previously described (Catlin, 1960a), liberated a deoxyribonuclease capable of depolymerizing the transforming DNA when the process of lysis was carried out in a solution of NaCl + 0.015M sodium citrate.) The lysate, transferred to a litre beaker, was rotated vigorously by hand in a horizontal plane while 1–2 volumes of 95% ethanol was slowly added. The resulting fibrous precipitate usually could be lifted out; occasionally centrifugation was required.

The nucleate fibres were dissolved in EDTA + NaCl solution (with added NaCl to give a final concentration of 1M) with stirring at 5°. This solution was clarified by centrifugation (82,000g; 3°; 110 min.), and fibres precipitated with ethanol, as before, were lifted immediately from the fluid in a partially transparent and viscous solution. These were washed in 75% (v/v) ethanol, drained, and re-dissolved in EDTA + NaCl solution. Suggestions concerning a desirable range of DNA concentration are given by Marmur (1961). The nucleate was partially deproteinized with dodecyl sodium sulphate (step 1, described in Catlin & Cunningham, 1958), and the ethanol-precipitated fibres were dissolved again in EDTA + NaCl solution, and subjected to centrifugation and reprecipitation. Digestion with crystalline ribonuclease (Worthington Biochemical Corp.) done out as described by Marmur (1961), except that the enzyme solution (5 mg./ml. in 0.15M-NaCl) was boiled for 5 min. before use. Thereafter, the DNA preparation was subjected to two further steps of deproteinization with dodecyl sulphate, each followed by an additional step of centrifugation of the DNA solution (in m-NaCl) for 110 min. (82,000g; 3°), and reprecipitation of fibres with 1–2 volumes of ethanol. Sterilized for 3–4 hr in 75% (v/v) ethanol, the drained fibres finally were dissolved in sterile 0.15M-NaCl solution. Concentration of DNA was determined by the diphenylamine reaction (Dische, 1955).

Analysis of the base composition of DNA preparations

RNA was assayed by the method of Pesez as modified for quantitative use by Zamenhof & Chargaff (Zamenhof, 1957), except that preliminary drying of the sample was omitted. Samples submitted to base analysis showed not more than 3.7% RNA.

23 G. Microb. xxxvii
The DNA samples were hydrolysed as described by Wyatt & Cohen (1953). Instead of an oil bath, a Slaco test-tube heater (Hallikainen Instruments, Berkeley, Cal.), set at 175°, was used to heat the sealed ampoules, which were made from ignition tubes (10 x 70 mm.). Safety shields were dispensed with, but the hydrolysates were frozen before opening to decrease the internal pressure which develops. Bases were then determined as described by Wyatt (1951), except that the differential extinction method of spectrophotometric assay was used (Vischer & Chargaff, 1948), the coefficients being given by Bendich (1957). Replicate determinations agreed within 5%.

Transformation test

A quantitative method developed for investigating transformation of Neisseria to streptomycin resistance has been described in detail (Catlin, 1960a; Catlin & Cunningham, 1961). It was used with only minor changes. A physiologically active population for use as recipient was obtained by twice subculturing the parent strain on HIY-1 agar at about 12-hr intervals. Bacteria taken from the second subculture (32° for 11-12.5 hr) were suspended in HI-1 broth (30°) to give a slight visible turbidity (c. 1-2 x 10⁷ bacteria/ml.). A further dilution (in accordance with expected competence of the strain) was prepared and used immediately. Equal volumes (1·5 ml.) of recipient suspension and DNA solution (final concentration, 10 μg./ml.) were mixed and incubated at 30°. After 80 min., unbound transforming DNA was inactivated by addition of sterile crystalline deoxyribonuclease (Catlin, 1960a). A sample of the population taken at this time was suitably diluted and plated to determine the total number of bacteria/ml. Each reaction mixture was then assayed to determine the number of transformants/ml. For this, a sample (diluted in broth if necessary) was mixed with HIY-1 soft agar (0·7%, w/v) kept fluid at 44°; immediately the entire volume was pipetted in 4 ml. samples on the surfaces of 8 HIY-1 hard agar (1.4%, w/v) plates. The plates were promptly incubated at 35° without stacking. A soft agar overlay containing dihydrostreptomycin (a concentration sufficient to give 500μg. DHS/ml. after diffusion) was added 5-7 hr after the time of initial exposure of bacteria to DNA: 5 hr, Ne 11; 6 hr, all other strains, routinely; 7 hr, some tests of the slow-growing strains Ne 13 and NCTC 4103. Transformant colonies were counted after incubation at 35° for 4-6 days.

Certain precautions were observed routinely. A control test with deoxyribonuclease-inactivated DNA was included in each experiment to reveal possible str-r mutants. These were not encountered with the population densities employed. The remnant of the broth dilution of DNA prepared for each test was incubated as a sterility control. The identity of selected transformant colonies was confirmed by microscopic examination and oxidase reaction.

Absence of aggregation cocci is essential for accurate determination of % transformation. To obtain a general impression of the reliability of tests with a given strain, a wet mount of coccal suspension was prepared soon after mixing with DNA. In all tests with strains 8318, N9, and ATCC 8198, microscopic examination revealed that more than 85% of the presumptive colony-forming units (100 counted) were single or paired cocci and aggregates numbering more than 3-4 were rare. Suspensions of Ne 13 and NCTC 4108 were somewhat less homogeneous, containing up to 5% aggregates having 5-8 cocci. Suspensions of strains Ne 11 and Ne 23
Transformation of Neisseria catarrhalis

contained the most clumps: often 50% single and paired cocci, and 5-20% aggregates of more than 8 cocci. Therefore, estimates of % transformation of Ne 11 and Ne 23 were too high. As an experiment with any given recipient included tests of all transforming preparations, however, results were affected equally by aggregation.

RESULTS

Bacteriological characterization. All 7 strains were Gram-negative cocci. Cocci tended to occur in pairs with adjacent sides flattened, and their division was typical of that described for Neisseria (e.g. Murray & Truant, 1954; Picchaud, 1961). Growing diplococci appeared to increase in width, and division occurred in a plane perpendicular to the previous plane of division.

Table 1. Characteristics of strains of Neisseria catarrhalis

<table>
<thead>
<tr>
<th>Reaction or test*</th>
<th>Ne 11</th>
<th>Ne 13</th>
<th>ATCC 8193</th>
<th>Ne 23</th>
<th>N 9</th>
<th>8313</th>
<th>NCTC 4103</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oxidase reaction</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Catalase production</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Deoxyribonuclease production</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Acidification of medium containing carbohydrate</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Indole production</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Urea hydrolysis</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Growth on citrate agar</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Response to antibiotics</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Penicillin (2 units)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Streptomycin (2 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tetracycline (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Oleandomycin (2 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Neomycin (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chloramphenicol (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Erythromycin (2 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Novobiocin (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kanamycin (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vancomycin (50 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vancomycin (5 μg.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nitrate disappearance</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Nitrate reduction</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Production of diffusible pigment</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>+</td>
</tr>
<tr>
<td>Gelatin hydrolysis</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>+</td>
<td>-</td>
</tr>
</tbody>
</table>

See Methods for details and for carbohydrates tested. Symbols: + , positive results; - , negative results; S, susceptible; R, resistant.

Each strain was examined at least twice: when first received, and later when the entire group had been collected. Most of the tests (Table 1) did not reveal significant differences among the strains. Thus, all showed strong deoxyribonuclease activity. All were susceptible to the antibacterial action of 9 of the 10 antibiotics tested. Professor M. J. Pelczar, Jr. earlier noted (personal communication) that neisserias are typically resistant to low concentrations of vancomycin. In confirma-
tion of that observation, all 7 of the strains examined here were resistant to vancomycin applied in discs containing 5 μg., but were susceptible to 80 μg.

Potassium nitrite at concentrations of 0.1 or 0.08% inhibited growth of all strains. However, all tolerated 0.005% KNO₃, and growth of Ne 11 and ATCC 8193 occurred in 0.01%. Nitrite disappeared within a 5 day incubation period from all visibly turbid cultures; no gas accumulated in inverted insert vials.

Table 2. Guanine + cytosine content of transforming DNA preparations from Neisseria catarrhalis strains

<table>
<thead>
<tr>
<th>Source of DNA</th>
<th>Chromatography</th>
<th>Tm</th>
<th>Density</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ne 11 str-r</td>
<td>40-7*, 41-3</td>
<td>41†</td>
<td>—</td>
</tr>
<tr>
<td>Ne 13 str-r</td>
<td>40-1*</td>
<td>—</td>
<td>42‡ 40-6§</td>
</tr>
<tr>
<td>Ne 13 str-r 11</td>
<td>41-3*</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>ATCC 8193 str-r</td>
<td>42-2, 42-3</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Ne 29 str-r</td>
<td>42-1, 42-2</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>N 9 str-r</td>
<td>42-3, 43-0</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>8313 str-r</td>
<td>42-1, 42-4</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>NCTC 4103 str-r</td>
<td>44-4, 44-4, 45-1</td>
<td>—</td>
<td>43-2$</td>
</tr>
<tr>
<td>NCTC 4103 str- r 11</td>
<td>44-6</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>

* Catlin & Cunningham, 1961.
† Marmur & Doty, 1962.
‡ Schildkraut, Marmur & Doty, 1962.
§ Determination by W. R. Guild (personal communication).

Four characteristics served to differentiate strain NCTC 4103 from the other 6 strains, which formed a homogeneous group: (i) NCTC 4103 did not reduce nitrate to nitrite during 7 days incubation; the others reduced nitrate within 20 hr; (ii) cultures of 4103 on HIY-1 agar developed a yellowish-tan coloration, presumably a water-soluble pigment; this was usually slightly visible in heavily streaked areas after incubation for 24 hr, and gradually diffused through the agar; pigment production was intense on HIY agar containing 5 μg. vancomycin/ml.; (iii) weak proteolytic activity of all strains except NCTC 4103 was detected by hydrolysis of gelatin in that part of the medium directly under the bacterial growth; (iv) on HIY-1 agar slopes streaked in duplicate with each strain, and incubated at 28° and 36°, growth-retardation at 28° was more conspicuous for NCTC 4103 than for the other strains.

Base content. Analyses of the over-all base composition of the DNA preparations showed the expected equivalence between adenine and thymine, and between guanine and cytosine. Therefore, values are given only for mole % G+C ( = G+C/A+T+G+C).

Table 2 lists separately the results of independent determinations, and gives some analytical values obtained by other investigators for the same DNA preparations. Three distinguishable classes were found among these 7 strains. G+C values for strains Ne 11 and Ne 13 averaged 41%. Values for strain NCTC 4103 were significantly higher (about 44.5%), and the str-r strains were indistinguishable, although one was derived by spontaneous mutation and the second by transformation (with
Tranformation of Neisseria catarrhalis

DNA from Ne 11 str-r. The third class, the 4 remaining strains, was intermediate (average 42.3%) and showed no overlap with either of the other classes.

Transformation. Transforming activity of the DNA preparations was examined in at least three separate experiments with each recipient, in view of the cellular aggregation of some strains (Methods). Results of single experiments with 6 of the strains are given in Table 3. Transformation of strain 8318 was highly reproducible. On the other hand, up to threefold differences of % transformation were found with Ne 11 (the values given are from the experiment showing least aggregation). In general, however, the ratios of % transformation by DNA from the str-r strain corresponding to the recipient to % transformation by any other DNA preparation were reproducible in experiments with these 6 strains.

Table 3. Transforming activity of DNA preparations from strains of Neisseria catarrhalis

<table>
<thead>
<tr>
<th>Source of DNA</th>
<th>Ne 11 str-r</th>
<th>ATCC 8198 str-r</th>
<th>Ne 23 str-r</th>
<th>N 9 str-r</th>
<th>8318 str-r</th>
<th>NCTC 4103 str-r</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ne 11 str-r</td>
<td>5.88</td>
<td>1.69</td>
<td>8.99</td>
<td>1.22</td>
<td>2.69</td>
<td>0.025</td>
</tr>
<tr>
<td>Ne 13 str-r</td>
<td>3.79</td>
<td>0.50</td>
<td>6.77</td>
<td>0.90</td>
<td>1.53</td>
<td>0.012</td>
</tr>
<tr>
<td>ATCC 8198 str-r</td>
<td>4.90</td>
<td>2.00</td>
<td>8.77</td>
<td>0.98</td>
<td>3.58</td>
<td>0.011</td>
</tr>
<tr>
<td>Ne 23 str-r</td>
<td>4.99</td>
<td>1.26</td>
<td>11.08</td>
<td>1.21</td>
<td>3.18</td>
<td>0.011</td>
</tr>
<tr>
<td>N 9 str-r</td>
<td>4.51</td>
<td>1.33</td>
<td>6.30</td>
<td>1.14</td>
<td>3.72</td>
<td>0.242</td>
</tr>
<tr>
<td>8318 str-r</td>
<td>3.78</td>
<td>1.24</td>
<td>6.54</td>
<td>1.09</td>
<td>4.71</td>
<td>0.006</td>
</tr>
<tr>
<td>NCTC 4103 str-r</td>
<td>0.06</td>
<td>0.033</td>
<td>0.27</td>
<td>0.011</td>
<td>0.69</td>
<td>2.492</td>
</tr>
<tr>
<td>NCTC 4018 str-r 11</td>
<td>1.65</td>
<td>0.67</td>
<td>4.07</td>
<td>0.26</td>
<td>2.27</td>
<td>1.078</td>
</tr>
</tbody>
</table>

Erratic results were obtained in tests of strain Ne 13 recipient cocci with DNA from Ne 13 str-r. The portion of the treated population which attained resistance to 500 µg. DHS/ml. varied from 0.02 to 5% in separate tests. Transformation by DNA preparations from strains N 9 and 8318 frequently was too low to be detected, as previously noted (Catlin, 1963, Microbial. Genet. Bull. 19, 5). However, when the challenge dose was 25 µg. DHS/ml., transformation was 0.1% or higher in tests of DNAs from all of the strains except NCTC 4103, which elicited 0.01% transformation. Clearly, then, genetic information involving the str-r determinant could be transferred successfully, although test conditions required for reliable quantitative assay of high-degree resistance have not been found for strain Ne 13.

Results of the transformation tests suggest that strains Ne 11, Ne 18, ATCC 8198, Ne 23, N 9, and 8318 are closely related. On the other hand, this group can be differentiated from strain NCTC 4103 by the distinctly lower frequencies of reciprocal transformation. The DNA preparation from the transformant strain NCTC 4103 str-r 11 elicited transformation of the group of 6 strains at intermediate frequencies, indicating increased molecular homology (Marmur, Seaman & Levine, 1963; Catlin, 1964).

DISCUSSION

The hypothesis that similarity of guanine + cytosine (G+C) content is a minimum requirement for genetic compatibility among different species has predictive value (Marmur, Seaman & Levine, 1963). However, the findings with Neisseria catarrhalis illustrate the fact that DNA preparations may elicit genetic transformation in spite
B. W. CATLIN AND L. S. CUNNINGHAM

of apparently significant differences of average G+C content of the donor and recipient strains. Presumably these strains possess homologies of nucleotide sequence, which are believed to be required for successful transformation, as well as some degree of heterogeneity of base composition. This possibility was recognized (e.g. Marmur et al. 1961), but in general the analytical results obtained with physical methods suggested that a given microbial DNA has a relatively narrow range of base composition, whereas the mean G+C content of DNAs from all microbial species varies widely (from about 25 to 75%). Moreover, intramolecular heterogeneity of base composition was thought to be relatively low (e.g. Sueoka, Marmur & Doty, 1959). These findings were the basis of the expectation that few or no base sequence homologies would occur in two DNA preparations whose average base composition differed very significantly. Recent evidence suggests that intramolecular heterogeneity in pneumococcal DNA (Guild, 1963) may be greater than earlier estimated. The N. catarrhalis findings presented here illustrate the difficulty of making specific inferences concerning genetic homology solely on the basis of average G+C data. With the development of new methods for detecting nucleotide sequence homologies (e.g. McCarthy & Bolton, 1963; and discussion in Marmur, Falkow & Mandel, 1963), average base composition data can be relieved of the burden of application at the fine-structure level.

Bacteriological tests adequate to reveal subgroups within the 'non-saccharolytic Neisseria' are rarely used. Early workers described a number of types of N. catarrhalis largely distinguished on the basis of colonial morphology. An organism described in 1906 by von Lingelsheim, and designated N. pharyngis cinerea in Topley and Wilson's Principles (1955), has been considered to be merely a variety of N. catarrhalis. The Subcommittee on the family Neisseriaceae (1954) noted that N. catarrhalis had not been the subject of any special study because it offered fewer complications than some of the other species to students of the genus. Berger & Paepcke (1962) investigated 30 non-saccharolytic strains from the human naso-pharynx, using a variety of methods, including precipitin tests, utilization of organic acids, growth at 22º, action on nitrates and nitrites, and pigment production. They concluded that their strains could be divided into two distinct groups corresponding to N. catarrhalis and N. cinerea.

Analyses of additional Neisseria catarrhalis strains will be required to determine the limits of the range of DNA base content, and whether strains exist which have G+C values intermediate between the three classes (41, 42-3, and 44-5 %) indicated by the data of Table 2. In spite of the reproducible differences of % G+C, strain Ne 11 and the group of 4 strains (ATCC 8198, Ne 23, N 9, and 8318) were not separable on the basis either of bacteriological characteristics (Table 1) or of transformation frequencies involving the str-r marker (Table 3). Differences may be revealed later, however, by investigation of other enzymic activities and additional genetic markers. On the other hand, strain NCTC 4108 is separable from the other 6 strains on the basis of differences of 4 physiological characters and of reciprocal transformation frequencies. Except that nitrite disappearance was not accompanied by gas formation, strain NCTC 4108 appears to correspond to N. cinerea, as characterized by Berger & Paepcke (1962); 24 of their 27 N. cinerea strains produced gas from nitrite. The 6 other strains are typical of N. catarrhalis. Interestingly, Berger & Paepcke (1962) concluded from their bacteriological study and survey of the litera-
Transformation of Neisseria catarrhalis

Fear that the frequency of isolation of these organisms has changed. *N. catarrhalis* which was considered to be a common inhabitant of the nasopharynx before 1928 is now comparatively rare, whereas the incidence of *N. cinerea* is believed to have increased.

We thank Professor Walter R. Guild for communicating results of density determinations on two DNA preparations. We acknowledge the competent technical assistance of Mrs Mary Donahue in performing the base analyses. This investigation was supported by research grants AI 02358–05 PC and CA 02128–09 PC from the National Institutes of Health, U.S. Public Health Service.

REFERENCES


