A Comparative Study of the Morphology and Viability of Hyphae of *Penicillium expansum* and *Phytophthora nicotianae* during Freezing and Thawing

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The changes in morphology of *Penicillium expansum* Link and *Phytophthora nicotianae* Van Breda de Haan during freezing and thawing in a growth medium with and without the cryoprotective additive glycerol were examined with a light microscope fitted with a temperature-controlled stage. Viability of 0.5–1.0 mm diameter colonies of both fungi was determined after equivalent rates of cooling to −196 °C in the presence or absence of glycerol. In *P. expansum* shrinkage occurred in all hyphae at rates of cooling of less than 15 °C min⁻¹; at faster rates intracellular ice nucleation occurred. The addition of glycerol increased the rate of cooling at which 50% of the hyphae formed intracellular ice from 18 °C min⁻¹ to 55 °C min⁻¹. This species was particularly resistant to freezing injury and recovery was >60% at all rates of cooling examined. At rapid rates of cooling recovery occurred in hyphae in which intracellular ice had nucleated. In contrast, during the cooling of *Ph. nicotianae* in the growth medium, shrinkage occurred and no samples survived on thawing from −196 °C. However, on the addition of glycerol, shrinkage during freezing decreased and viable hyphae were recovered upon thawing; at rates of cooling over 10 °C min⁻¹ the loss of viability was related to glycerol-induced osmotic shrinkage during cooling rather than to the nucleation of intracellular ice.

INTRODUCTION

Studies of the biochemistry and biophysics of cellular injury are essential for an understanding of ecophysiology at low temperatures and for the improvement of methods for long-term preservation. The stresses that cells are exposed to during freezing and thawing are well-defined (Taylor, 1986). When freezing is initiated in a dilute aqueous solution only a proportion of the water undergoes transition to ice and the gases and solutes in the residual aqueous solution become more concentrated. Cells in suspension are exposed to hypertonic solutions during freezing and the cellular morphology and viability are determined by the rate of cooling. At 'slow' rates of cooling extensive shrinkage occurs, whilst at faster rates of cooling there is insufficient time for osmotic equilibrium to be maintained and intracellular ice may nucleate. 'Rapid' and 'slow' rates of cooling have no absolute values and vary with cell-type and the presence or absence of cryoprotective additives.

Coulson et al. (1986) used a light microscope fitted with a temperature-controlled stage to observe the hyphae of *Penicillium expansum* during freezing and thawing. At slow rates of cooling (<15 °C min⁻¹) extensive shrinkage of the hyphae, without distinct plasmolysis, was observed. Cooling at rates greater than 50 °C min⁻¹ induced intracellular ice formation in all hyphae. At intermediate rates, shrinkage and intracellular ice nucleation were observed, occasionally within the same hypha. At rates of cooling at which intracellular ice formed, nucleation occurred at −14 °C and was independent of the rate of cooling within the range 20 to 100 °C min⁻¹. Here we extend these studies to examine the morphology of *Phytophthora*
ni
totianae, a pathogen of citrus causing fruit rot. This fungus is a representative of the
Mastigomycotina, a group that presents particular problems in cryopreservation (Smith, 1982).
The effects of the presence and absence of the cryoprotective additive glycerol on the hyphae
during freezing are examined for both Ph. nicotianae and P. expansum and compared with colony
viability after different rates of cooling to –196 °C. It is generally assumed that one of the major
stresses associated with freezing is the formation of hypertonic solutions and therefore the
effects of hypertonic solutions on morphology and viability were also examined.

METHODS

Preparation of fungal cultures. Conidia of P. expansum (IMI 174158) were inoculated into Czapek-Dox broth and
hemp seed medium. Agar blocks cut from the edge of colonies of Ph. nicotianae (IMI 158733) on oat agar (Smith &
Onions, 1983) were inoculated into onion or hemp seed medium (Smith & Onions, 1983). The cultures were
incubated for 48 to 72 h and agitated occasionally to break up the colonies, which were non-sporulating and in the
form of thin mycelial mats. Intact colonies 0.5–1.0 mm in diameter were selected for observation and transferred
from broth culture to the stage of the cryomicroscope with an Eppendorf multipipette. The colonies of P. expansum
grown in hemp seed medium were prepared for comparison of the behaviour of the hyphae when grown on
different media. The colonies produced were observed on the cryomicroscope at a limited range of cooling rates
only and were not used in the viability tests. There were at least three replicates prepared for each cooling rate.

Cooling at different rates to –196 °C. Colonies were placed in 0.5 ml of either growth medium or glycerol (10%,
v/v) in a 12 x 35 mm sterile polypropylene tube (Nunc). Colonies in growth medium were frozen without further
incubation, those in glycerol were equilibrated at approximately 20 °C for 1 h. The tubes were cooled at different
rates to –60 °C by the technique previously described (Morris & Farrant, 1972) before being cooled rapidly to
–196 °C in liquid nitrogen. The rate of cooling, determined from the time required to cool between –5 and
–60 °C, was measured from a replicate sample using a copper-constantan thermocouple (28 SWG) connected to a
Kipp–Zonen potentiometric recorder (model BD5). The hyphae were thawed after 5 min at –196 °C by rapid
agitation of the tube in a water bath at +30 °C until the last crystals of ice had melted. There were at least three
replicates for each cooling rate and counts were done in duplicate.

Assay of viability. Regrowth was determined by transferring colonies of P. expansum onto Czapek-Dox agar and
colonies of Ph. nicotianae on to oat agar (Smith & Onions, 1983). The colonies of P. expansum were scored between
36 and 48 h on the agar medium and checked again after 72 h. The colonies of Ph. nicotianae were scored after 4 to
7 d on the recovery medium.

Cryomicroscopy. During freezing and thawing hyphae were observed on a cryomicroscope conduction stage
(McGrath, 1986), a microcomputer (Apple Ilc) being used for temperature control of the stage heater. They were
cooled from 20 to 5 °C at a rate of 10 °C min⁻¹, held at 5 °C for 0.5 min, and then cooled at different linear rates.
Colonies in the growth medium were cooled to –30 or –50 °C, and those in glycerol to –50 °C. Samples were
maintained isothermally at the minimum temperature for 30 s and rewarmed at 50 °C min⁻¹ to 20 °C. Three
replicates or more were observed at each cooling rate.

Diffusion stage. The dynamic response of individual hyphae to alterations in the osmolality of the surrounding
medium was examined on a microscope diffusion stage as described by McGrath (1985).

Light microscopy. Observations were made with a Leitz Dialux 22 microscope fitted with a 40/0.7 objective
combined with a 2 x magnification changer. Data were recorded on video (Hitachi HV-65 camera, Sony U-matic
recorder model VO-5630) using a video time generator (Panasonic model WJ-810) to record times. The recorded
data were played back for analysis on a video monitor (Hitachi model VM-906a) and photographs were taken
directly from the video screen using a Polaroid land camera (model CU-5, film type 667).

Effects of hypertonic solutions. Colonies of both fungi were grown and harvested as described above and
immersed in a range of NaCl solutions (1.5, 2, 2.5, 3 and 3.5 M) for 5 min at approximately 20 °C. Colonies were
then transferred to growth medium for 5 min before inoculation onto agar medium. Three replicates were
prepared for each NaCl solution.

RESULTS

Viability after freezing and thawing. Regrowth was evident in >60% of the colonies of P. expansum frozen to –196 °C in the growth medium at all rates of cooling examined (Fig. 1). Growth from the viable colonies after freezing and thawing was similar to that observed from unfrozen controls. The addition of glycerol (10%, v/v) increased the extent of recovery and, at cooling rates of less than 20 °C min⁻¹, gave viabilities of over 90%. After freezing in both growth medium and glycerol viability was reduced at faster rates of cooling. When colonies were
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Fig. 1. Recovery of colonies of *P. expansum* after different rates of cooling to −196 °C. At least 50 colonies were frozen at each cooling rate in either growth medium (O) or glycerol (10%, v/v) (●). Counts were in duplicate and SDs calculated to show the variation between plate counts. The bars represent one SD.

Fig. 2. Recovery of colonies of *P. expansum* after different rates of warming from −196 °C. Colonies were cooled to −196 °C at 200 °C min⁻¹ in growth medium. The bars represent one SD.

Fig. 3. Recovery of *Ph. nicotianae* after different rates of cooling to −196 °C. Colonies were frozen in either growth medium (O) or glycerol (10%, v/v) (●). The bars represent one SD.

cooled rapidly in growth medium, recovery was highest after rapid rates of warming; however, even at rates of warming of less than 1 °C min⁻¹ regrowth, albeit slow, was evident in approximately 30% of colonies (Fig. 2).

There was no regrowth of colonies of *Ph. nicotianae* after freezing to and thawing from −196 °C in growth medium. In the presence of glycerol, viable colonies recovered, survival being dependent on the rate of cooling (Fig. 3). Viability was >70% (n = 15) at rates of cooling of less than 11 °C min⁻¹; at faster rates of cooling the recovery fell to <20% (n = 9).

*Change in morphology during freezing.* The morphology of hyphae of *P. expansum* during freezing and thawing depended on the rate of cooling and the presence of glycerol. As previously demonstrated for hyphae frozen in Czapek-Dox media (Coulson *et al.*, 1986), slow rates of cooling (<15 °C min⁻¹) induced extensive shrinkage, whilst at faster rates (>50 °C min⁻¹) intracellular ice formed during freezing and gas bubbles were observed within hyphae during rapid rewarming. At lower rates of rewarming extracellular ice recrystallized and localized melting was evident within hyphae at −12 °C; the formation of intracellular gas bubbles was not observed (Fig. 4). The colonies grown in hemp seed medium behaved similarly: at a cooling
rate of 10 °C min⁻¹ all hyphae shrank; at 20 °C min⁻¹ approximately 50% shrank while the remainder showed intracellular ice nucleation; and at 50 °C min⁻¹ all hyphae showed intracellular ice.

The addition of glycerol (10%, v/v) modified the morphology of *P. expansum* during freezing and thawing. During cooling at 10 °C min⁻¹ no alteration was evident; hyphae remained unshrunken during freezing. At rates of 50 °C min⁻¹ or more hyphae became granular throughout the whole of their observed length. This was assumed to be due to the formation of intracellular ice. Upon rewarming gas bubbles formed within hyphae. These bubbles increased in size and returned to solution upon melting of extracellular ice.

The response of *Ph. nicotianae* differed greatly from that of *P. expansum*. No intracellular ice was formed in hyphae in growth medium (see Fig. 7) and the protoplast and hyphal wall shrank extensively at all rates of cooling examined, illustrated during rapid cooling in Fig. 5. In many hyphae plasmolysis was evident and the hyphal wall often appeared to be ridged or folded; on thawing the cytoplasmic organization was disrupted. Intracellular ice was not observed during freezing in glycerol and shrinkage of the hyphae was determined by the rate of cooling.
Quantitative analysis. During the freezing of *P. expansum* in growth medium, maximum shrinkage was observed at slow rates of cooling. As the rate of cooling increased less shrinkage occurred, and at 100 °C min⁻¹ hyphae reached low temperatures without significant reduction in diameter (Fig. 6). No significant shrinkage occurred during freezing in glycerol at any rate of cooling examined. Glycerol also modified the rate and temperature at which intracellular nucleation occurred (Fig. 7); at rates of cooling of less than 35 °C min⁻¹ intracellular ice was not evident during cooling. The incidence of intracellular ice formation increased sharply between the rates of 35 and 40 °C min⁻¹. To allow comparison of different treatments, the rate of cooling at which 50% of hyphae formed intracellular ice was determined graphically. For *P. expansum* in growth medium this was at 18 °C min⁻¹ compared with 55 °C min⁻¹ in the presence of glycerol.

By contrast, during freezing of *Ph. nicotianae* in growth medium the diameter of the hyphae was reduced to 60% of that of unfrozen controls at all cooling rates examined (Fig. 8). The hyphal diameter after cooling in the presence of glycerol was dependent on the rate of cooling. At rates of less than 5 °C min⁻¹ shrinkage did not occur; at intermediate rates of cooling (10 to 70 °C min⁻¹) there was a 20% reduction in diameter; at faster rates less shrinkage occurred.

Hypertonic stress. Colonies of *P. expansum* were more resistant to the stress of exposure to and removal from hypertonic solutions of NaCl than was *Ph. nicotianae* (Fig. 9). Between 25 and 100 colonies were immersed in each concentration of NaCl. The proportion of survivors and the deviation from the mean were calculated for each replicate.
Fig. 6. Diameter of hyphae (percentage of unfrozen) of *P. expansum* after different rates of cooling to 
$-30^\circ$C. Hyphae were in either growth medium (○) or glycerol (10%, v/v) (●). The bars represent one
SD.

Fig. 7. Incidence of intracellular ice formation within hyphae of *P. expansum*, where the hyphae were in
either growth medium (○) or glycerol (10%, v/v) (●), and *Ph. nicotianae*, where the hyphae were in
growth medium (□), at different rates of cooling.

Fig. 8. Diameter of hyphae (percentage of unfrozen) of *Ph. nicotianae* after different rates of cooling to
$-30^\circ$C. Hyphae were in either growth medium (○) or glycerol (10%, v/v) (●). The bars represent one
SD.

Fig. 9. Recovery of colonies of *Ph. nicotianae* (●) and *P. expansum* (○) after hypertonic stress. Colonies
were exposed to solutions of NaCl for 5 min at 20°C and then returned to an isotonic medium. The bars
represent one SD.

The morphology of hyphae on exposure to a hypertonic solution of NaCl on a diffusion stage
differed between the species (Fig. 10). Exposure of *P. expansum* to 3 M-NaCl induced localized
plasmolysis within 30 s; on its return to isotonic solutions the protoplast and hyphal wall re-
expanded, and hyphae responded similarly to a second hypertonic challenge. By contrast, in *Ph.
nicotianae* exposed to 2 M-NaCl a greater degree of plasmolysis was observed and was complete
within 10 s. Many hyphae re-expanded in the hypertonic solution before their return to isotonic
solution. All hyphae failed to respond to further hypertonic stress.
DISCUSSION

Many cells from different groups of organisms have an optimum rate of cooling, survival decreasing at both faster and slower rates (see Mazur, 1970). Cellular injury at sub-optimal rates of cooling has generally been ascribed to the damaging effects of prolonged exposure to hypertonic solutions. At supra-optimal rates of cooling death has been correlated with the nucleation of intracellular ice. However, this relationship between the morphology during freezing and survival on thawing does not apply to the two species of fungi examined in this study.

*P. expansum* was highly resistant to both shrinkage at slow cooling rates and intracellular ice formation at fast cooling rates. The formation of intracellular ice is considered to be a lethal stress to most cells (Mazur, 1977), but *P. expansum* was resistant to such injury. The only other eukaryotic cell-type in which vegetative cells are known to be resistant to both hypertonic stress and the nucleation of intracellular ice is the unicellular green alga *Chlorella protothecoides* (Morris, 1976).

*Ph. nicotianae* was not so resistant and was killed by all rates of cooling without the cryoprotectant additive glycerol. Intracellular ice was not observed but extensive shrinkage occurred. These observations suggest that during freezing the water transport processes of these hyphae are considerably faster than those of *P. expansum*. Amongst other cells examined by cryomicroscopy only human erythrocytes have a faster critical cooling rate, with 50% nucleation
at 540 °C min⁻¹ (Diller et al., 1976). This high permeability to water means that hyphae shrink (or collapse) rapidly on exposure to osmotic stress. The response is damaging and, compared with \( P. \) expansum, colonies or \( \) Ph. nicotianae \( \) are extremely sensitive to osmotic stress at 20 °C. In the shrunken state a loss of plasmalemma function occurred, as many hyphae re-expanded while suspended in hypertonic solution, and these hyphae did not respond to further hypertonic challenge.

During the freezing of \( P. \) expansum in glycerol, shrinkage of hyphae was reduced, presumably because there was sufficient time for the equilibrium between extracellular glycerol and the hyphae to be attained. The presence of glycerol increased the critical rates of cooling. Such a modification of intracellular nucleation after the addition of cryoprotective additives has been observed with many cells from different groups of organisms (Leibo, 1977; Morris et al., 1986) and may be due to alteration of the characteristics of water transport, direct effects on membranes or increasing the amount of residual unfrozen fraction at any temperature.

After freezing \( \) Ph. nicotianae \( \) in 10% glycerol at slow rates of cooling (\(<10°C min⁻¹\) ), hyphae remained unshrunken and viable, presumably as a result of the avoidance of osmotic stress and the low toxicity of concentrated solutions of glycerol at reduced temperatures. At faster rates of cooling (\(>10°C min⁻¹\) ) shrinkage occurred as there was insufficient time for osmotic equilibrium, which was incompatible with high recovery. The reduction in survival at cooling rates faster than \( 10°C min⁻¹ \) in the presence of glycerol was not due to the formation of intracellular ice and is therefore concluded to be due to the osmotic stress imposed by glycerol during freezing. The loss of viability of the unicellular green alga \( Cylindrocystis \) brebissonii at intermediate rates of cooling in the presence of the cryoprotective additive dimethylsulphoxide has also been correlated to cryoprotective additive-induced shrinkage during freezing rather than to nucleation of intracellular ice (Morris et al., 1986).

The two species studied represent different groups of fungi that are widely separated. \( P. \) expansum is a Hyphomycete whereas \( \) Ph. nicotianae \( \) belongs to the Mastigomycotina (see Hawksworth et al., 1983). The latter is regarded by some as a protist and not a true fungus (Kendrick, 1985). Their differences are again emphasized by their responses to cooling.

These data suggest that the hypothesis of freezing injury generally accepted for a wide range of cells from different organisms (Mazur, 1970) does not apply to fungi. Further studies are required to establish the relationship for fungi between the morphology during freezing and hyphal viability upon thawing. Such data are a prerequisite for the development of specific methods for the cryopreservation of fungi.

**REFERENCES**


